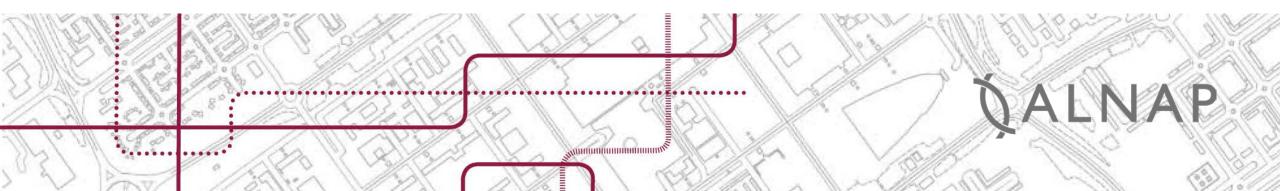
# Choosing and defining urban areas for humanitarian response

An ALNAP Webinar



# Outline

#### Introduction

#### Part 1: How to choose an area?

- Catholic Relief Services (CRS)
- UN Habitat
- Question and answer

#### Part 2: How to define the boundaries of that area?

- IMPACT Initiatives
- Humanitarian OpenStreetMap
- Question and answer

# **Presenters**

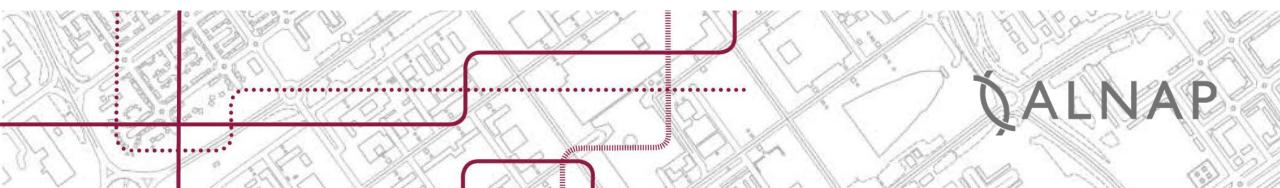
Part 1: How to choose an area?

#### **Tulio Mateo** Catholic Relief Services



#### **Riham Kowatly** UN Habitat





Part 1: How to choose an area?

# Here or there: Choosing an area

- Convenience or criteria based?
- Universal or context specific?



## ADRESSING URBAN NEEDS not by convenience Typhoon Haiyan Recovery Program, Tacloban, Philippines

Tulio Mateo tulio.mateo@crs.org

faith. action. results.



# 40.0 METER EASEMENT FROM SHORELINE IS A "NO BULD ZONE" PD 1067

### The Challenges and Opportunities in Urban Tacloban

- Population w/different needs
- Diverse vulnerability (physical and legal/tenure, risk of eviction)
- "No Dwell Zone", with over half of beneficiary inhabitants.
- Inadequate space for sheltering populations in same locations
- Informal settlements; disaster prone, gaps in services
- Protection issues & lost documents
- Loss livelihoods & relationships





- Most people willing to repair or move out of hazardous area
- People willing to host or rent
- Land available within the city
- Alignment with National Long term strategies and Coordination with Government at City and neighborhood levels
- Cash transfer systems available



### **CRS Response: Two Strategies**

#### • Emergency/Recovery (3000 families)

- Menu of shelter options for affected families (Full shelter, land rental, apartment rental, host family support)
- Transitional support until official resettlement projects are available.
- Long term/Development (900 families)
  - Community driven resettlement
  - Alignment with public policies and coordination to ensure full coverage of needs



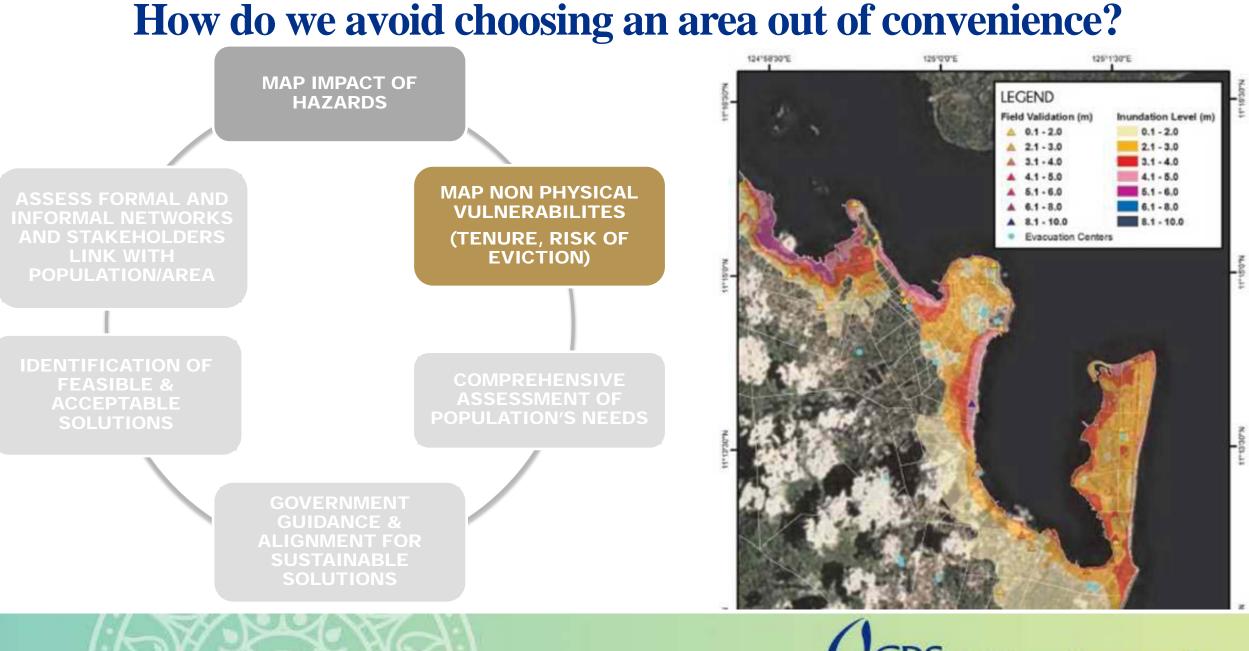


With these experiences, what did we learn?

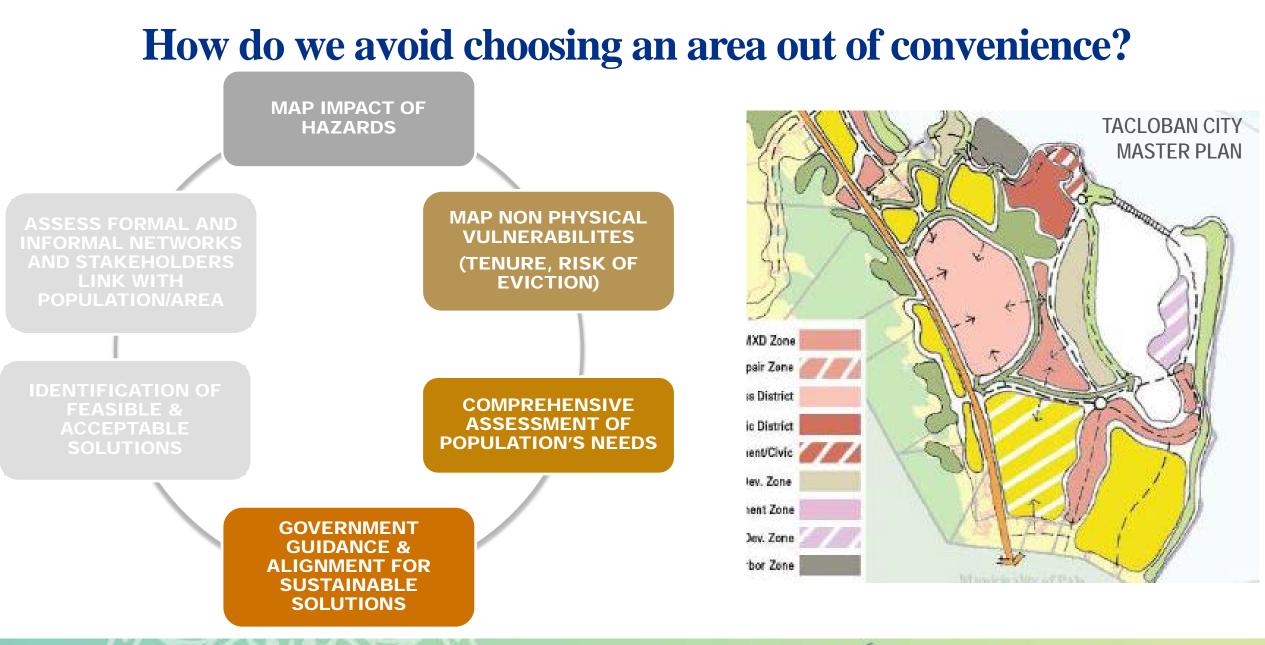
How do we avoid choosing an area out of convenience?



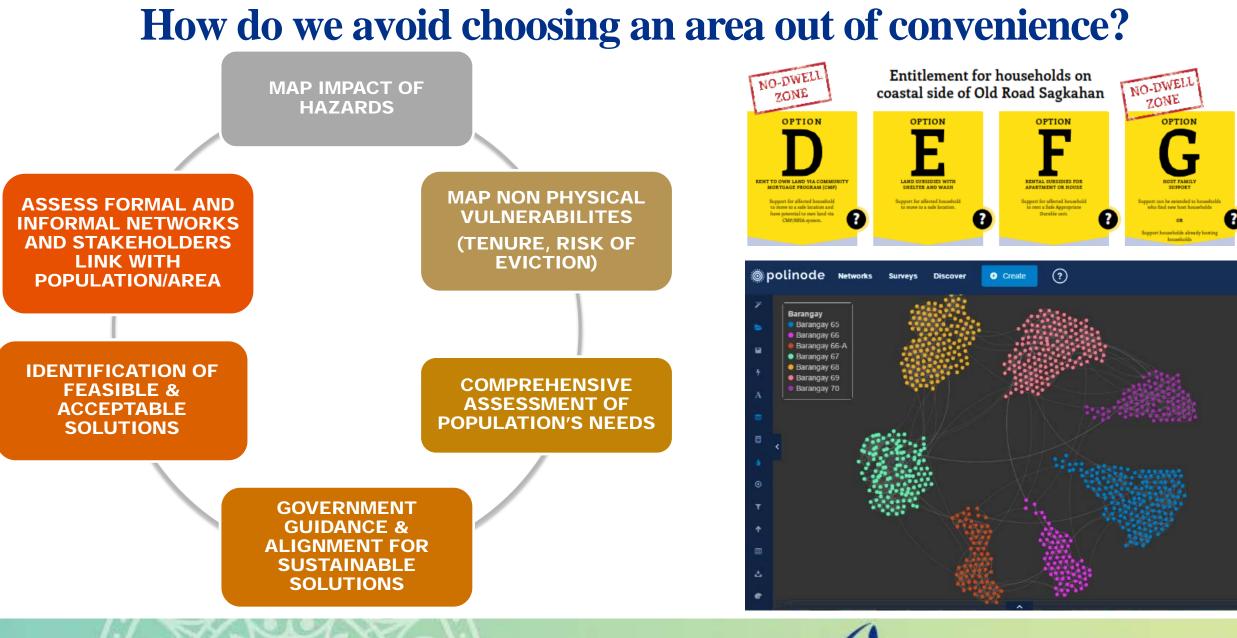




CRS faith. action. results.









### How do we avoid choosing an area out of convenience?



# By embracing urban complexity.

- Governance, urban planning, community facilities
- Build Back Safer, WASH, DRR
- Options to improve security of tenure
- Gender, protection and social cohesion

#### • Livelihoods and Savings Why is this process useful?

- Increases likelihood that local stakeholders adopt solutions
- Ensures households are not evicted, remain safe
- Drives for a holistic approach
- Seeks to align to broader, long-term initiatives





#### ADDRESSING URBAN NEEDS not by convenience Typhoon Haiyan Recovery Programs, Tacloban, Philippines

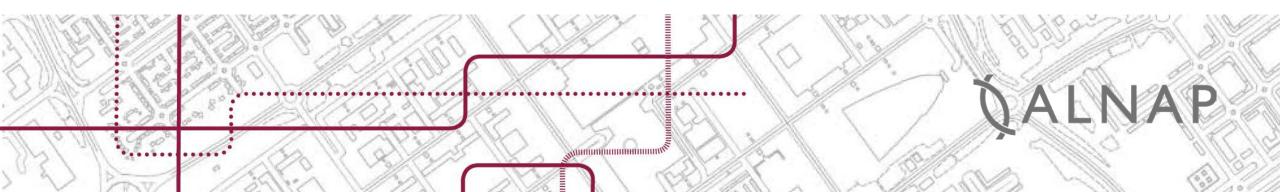
Tulio Mateo tulio.mateo@crs.org



**Part 1: How to choose an area?** 

# **Vulnerability Criteria**

- Action Against Hunger (ACF) vulnerability zoning using sustainable livelihoods framework
- PCI's 18 vulnerability criteria in Guatemala



# HOW TO CHOOSE AN AREA?

**ALNAP** Webinar



# **Most Disadvantaged Areas**

ACROSS LEBANON

AIM

 To ensure all disadvantaged population groups and disadvantaged geographic areas have been identified.

 To profile selected vulnerable urban neighbourhoods with poor socio-economic status, a refugee presence and where there are stresses in terms of provision of/access to urban services including shelter.



# Most Disadvantaged Areas

ACROSS LEBANON

HOW

 The selection process was designed to be participatory using key local knowledge, consensus building, and triangulation.

**STEP 01 IDENTIFICATION** BY LOCAL STAKEHOLDERS

**STEP 02** VERIFICATION BY NATIONAL STAKEHOLDERS

**STEP 03 RANKING** LOCAL KNOWLEDGE & MULTI.DEP INDEX

**STEP 04** BOUNDARY INDETIFICATION



### **STEP 01 IDENTIFICATION** BY LOCAL STAKEHOLDERS







#### **1.1 IDENTIFY**

Public officials at a district level

#### **1.2 RANK**

- Extreme poverty
- Presence of refugee population
- Existence of slums/substandard housing
- Out of school and Working children Frequency of incidence of violence
- Overburdened public services
- Basic urban services
   Not more than ~20,000 persons in each

### **1.3 DECONFLICT**

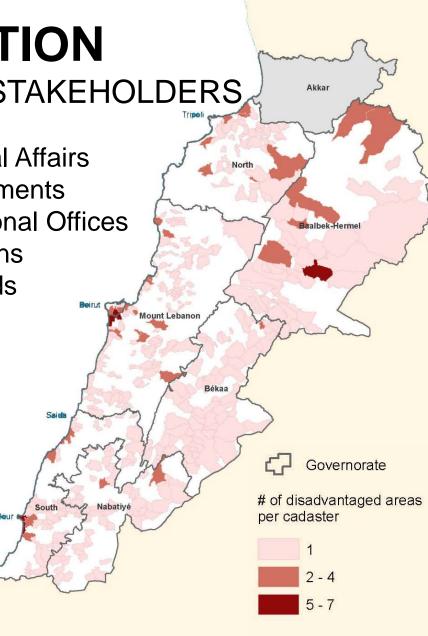
A final locally ranked list





## **STEP 02** VERIFICATION BY NATIONAL STAKEHOLDERS

- Ministry of Social Affairs
- Water Establishments
- Education Regional Offices
- District Physicians
- Inter-Sector leads



# STEP 03 RANKING

#### Multi-deprivation Index + Local Knowledge = Average Score

#### | Education

INDEX

**/ULNERABILITY** 

Percentage of out of school children (ages 06-14) & (ages 15-17)

Percentage of children of pre-primary school age (3-5) attending pre-primary or primary school

#### **Child Protection**

Percentage of children (ages 5-17) engaged in child labour

Percentage of women (ages 15-19) currently married or in union

Percentage of children (ages 1-14) who experienced any violent discipline method

#### WASH

% of safely managed water

% of the population using an improved sanitation facility

# of individuals living in ITS

#### Health and Nutrition

Percentage of children age 6–23 months who received foods from 4 or more food groups during the previous day Percentage of children age 12-23 months and vaccinated against vaccine preventable childhood diseases...

Percentage of children (0-59 months) who in the last two weeks had symptoms of Acute Respiratory Infection (ARI)

#### **Social Policy**

% of households (refugee and Lebanese) living under the poverty line



# STEP 04 BOUNDARY INDETIFICATION



- Delimit & verify with input of:
  - Municipality
  - Local NGOs
  - Community
- Expert field visit observation (building typology, topography, geography etc.)



### NEIGHBOURHOOD PROFILE & STRATEGY





A **Neighbourhood Profile** is a multi-sectoral, multicohort spatial analytical tool to improve the urban crisis response in poor neighbourhoods A **Neighbourhood Strategy** is a spatial and thematic phased response plan that is informed by the outcomes of the Neighbourhood Profile

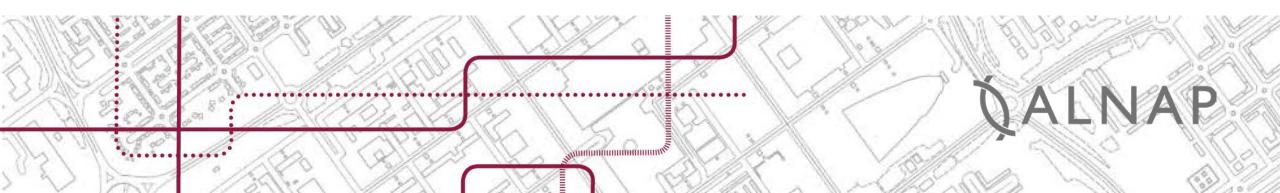
- Context
- Population
- Governance
- Safety & Security
- Health
- Wash
- Education

- Child Protection
- Youth
- Local Economy & Livelihoods
- Open Spaces
- Buildings
- Basic Urban Services

#### Part 1: How to choose an area?

# **IRC's context specific criteria**

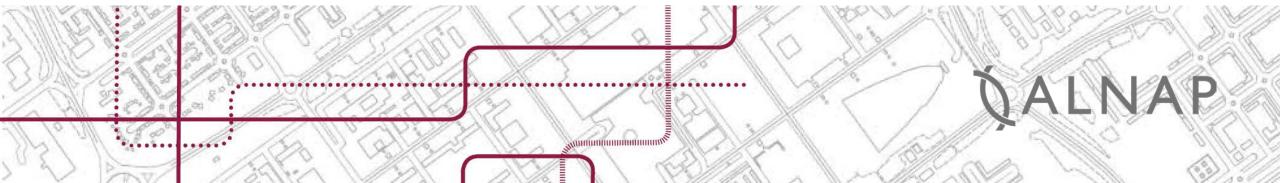
- High number of IDPs living (outside of camps) in the area
- Previous, existing or future planned IRC programming in the area
- Security risks/access to the area
- When selecting multiple areas, variation between those selected in terms of geographic location in the city, size and communities present
- Vulnerability of the sub-area in comparison to other areas of the city
- Implementing organisation's existing programming or strategic preferences
- Known gaps in contextual understanding
- Request for support/documentation of needs by local government/UN, etc.



**Part 1: How to choose an area?** 

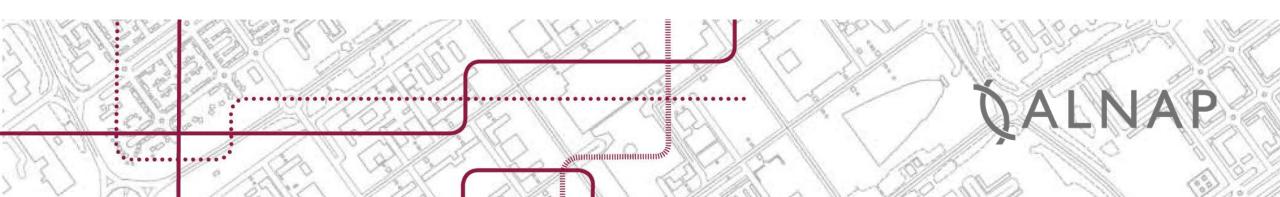
# **Your Questions**

Please submit any questions you have using the 'chat' window in GoToWebinar



# **Once you're there: Identifying boundaries**

- Changing or non-existent
- Political implications
- Fuzzy boundaries
- Zones



# **Presenters**

Part 2: How to define the boundaries an area

#### **Christian Keller** IMPACT Initiatives



#### **Paul Uithol** Humanitarian OpenStreetMap Team





## How to identify an area as a basis for planning and delivery of aid?

Lessons learned from a participatory mapping exercise in Mafraq (Jordan) and Diffa (Niger)

### **ALNAP Webinar**

### "Choosing and defining urban areas for humanitarian response"

**19 October 2017** 





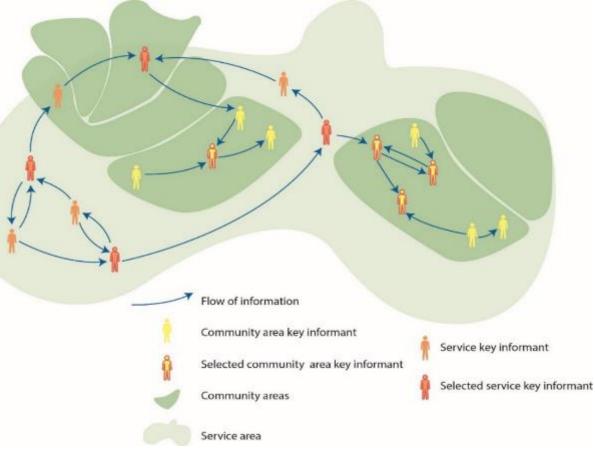
Program focusing on Community Area identification and data gathering in out-ofcamp settings

This program combines two **approaches**:

**STEP 1: Community Area** mapping to ensure territorial understanding

**STEP 2: Social Network Analysis** to find reliable information sources within the community area (specifically refugee/displaced and host populations)

**Pilots** in Mafraq/Jordan (completed); Diffa/Niger (ongoing); upcoming Uganda and/or Afghanistan



### Identifying Community Areas



#### How to identify a Community Area? Mapping Focus Group Discussions (MFGD)

- 1. "Identify the location(s) and recognize or add key landmarks on the map"
- 2. "Where do you access daily services like barber, school or cafés?"
- 3. "What is the name of your "neighbourhood", your comfort zone?"
- 4. "Are there any differences between inhabitants of your neighbourhood? (Income? Ethnicity?) ?"
- 5. "Where does it start to be different?"
- "Until where you can talk on behalf of your neighbours?" (sharing same daily issues, having same needs and opportunities) – "show the boundary on the map"



Female MFGD in Diffa, Niger



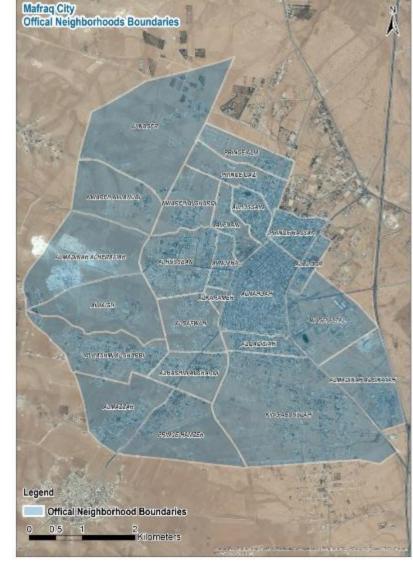
Male MFGD in Mafraq,

- Jordan
- Several rounds with different group of people with community level understanding (men/women, refugees/locals, etc.).
- Reaching saturation is key (agreeing on boundaries)

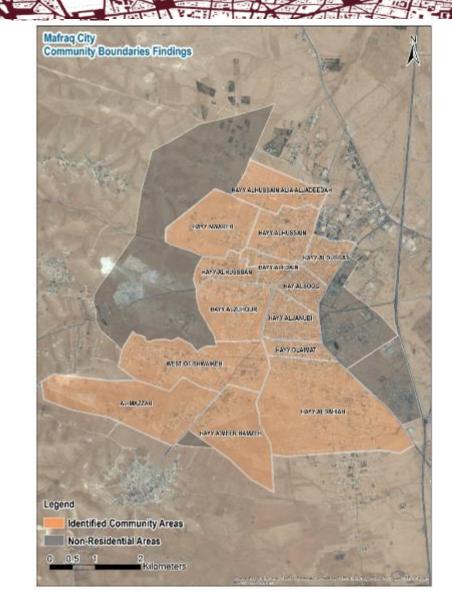
### Jordan Pilot

#### Mafraq city, Community Areas:

MFGDs' results *administrative areas vs. community areas* 



#### Administrative boundaries

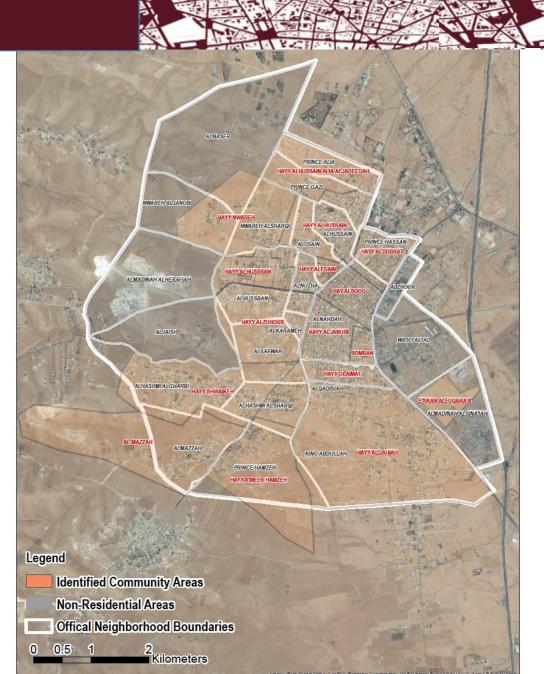


#### Community area boundaries (MFGDs)

### Jordan Pilot

#### Mafraq city, Community Areas:

MFGDs' results *administrative areas vs. community areas* 

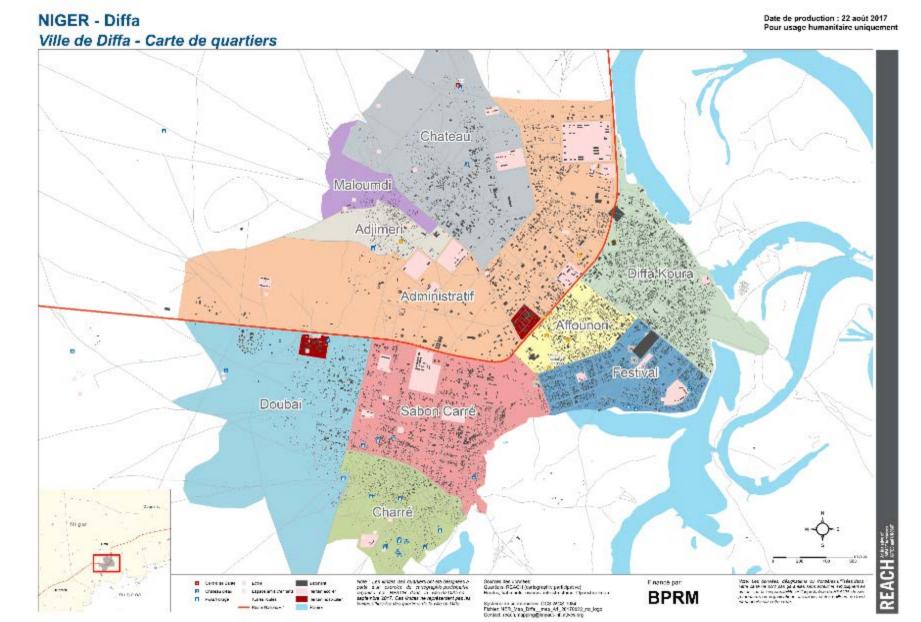


### Niger Pilot



Diffa city, Community Areas:

MFGDs' results *Community areas* 



#### AGORA NIGER - Diffa AGORA - carte planning

Pour usage interne uniquement

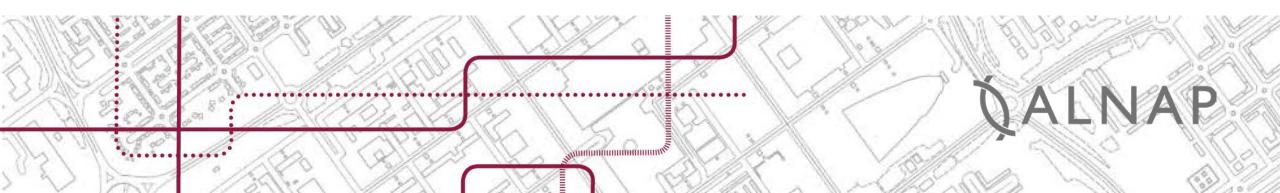
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#### **Part 2: How to define the boundaries of that area?**

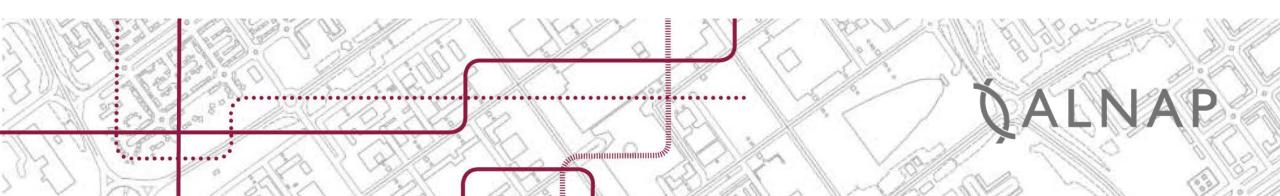
# **Approaches to boundaries**

- Administrative boundaries
- Social perception of neighbourhood
- Service catchment area
- Physical characteristics/map



# **Questions to consider**

- What is the purpose of defining a boundary?
- What boundaries and baseline information already exist?
- What time is available to understand boundaries?
- How precise do boundaries need to be to achieve the analysis objective? Can fuzzy boundaries help?
- To what extent are boundaries shaped by political affiliation, and how does this shape how organisations make decisions about boundaries?



### ALNAP Urban Webinar #17

Drawing the boundaries: defining urban areas for urban humanitarian action



Paul Uithol paul.uithol@hotosm.org @PaulUithol





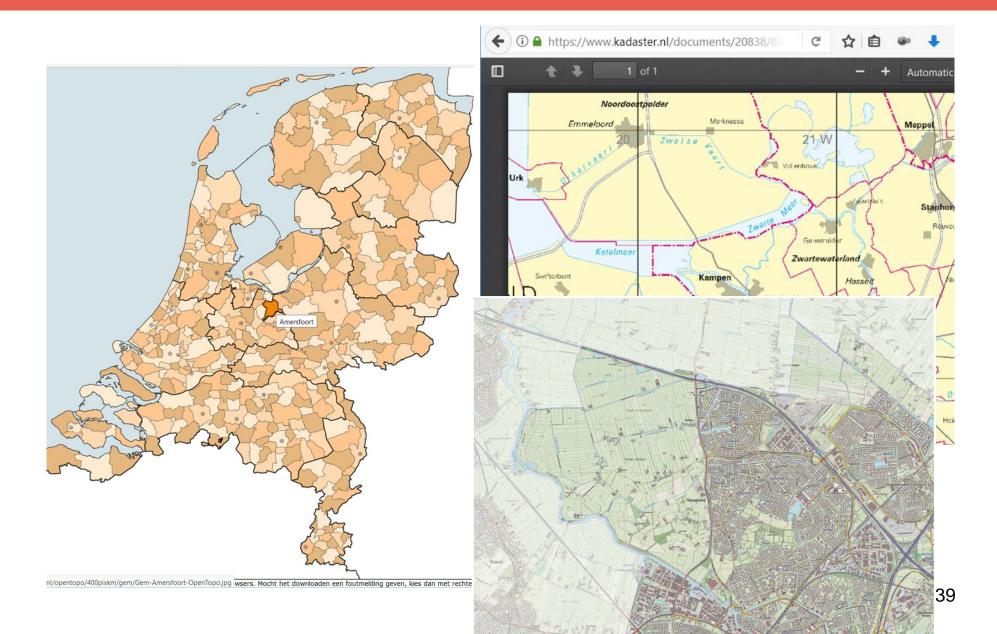
What can you find in practice?

- **1**.Boundary perceptions align. All is neat and orderly
- 2.Official data available, but may differ from perceptions
- 3.De facto understanding of boundaries, but lack of surveyed data

4. There's little to no data on boundaries available

### 1. First, the easy one..



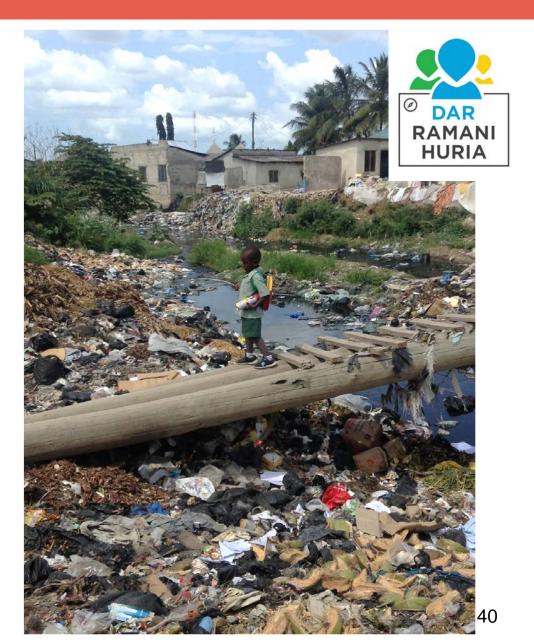


#### 2. The slightly harder case - Dar es Salaam



- Severe urban flooding
- Improper solid waste disposal
- Floodplains built up
- Waterways constricted





#### Identifying hazards and boundaries with communities



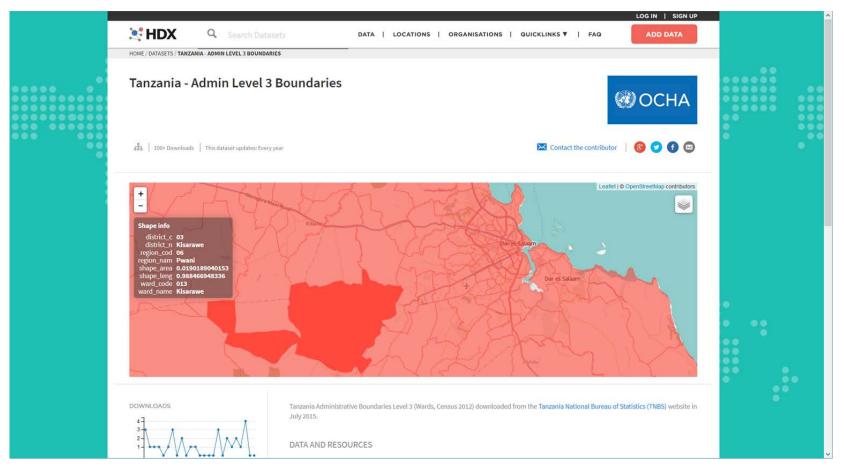


#### Data sources



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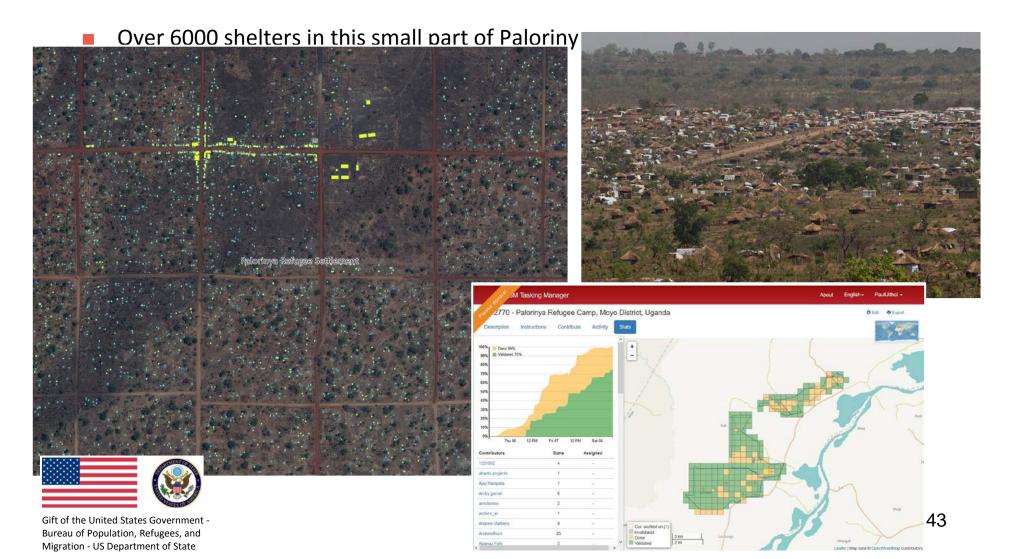
- Fuelled by rapid urban growth, lack of planning capacity.
- May need to use multiple (COD) datasets alongside each other (official/de facto)



#### 3. Little surveyed data; Northern Uganda



Mix of villages/towns and refugee settlements



### 4. Lack of boundary data; Liberia - LEGIT







#### Map service delivery and administrative boundaries





45





### Thanks!

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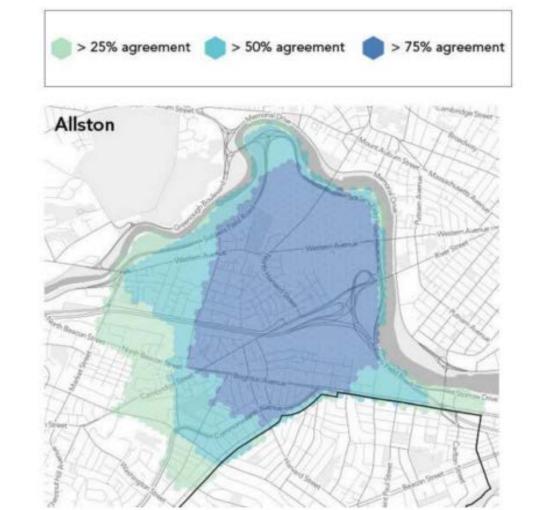


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@PaulUithol

# Crowd-sourcing boundaries in Boston

#### **Sources**

- <u>bostonography.com/</u>
- www.dnainfo.com/new-york/20150928/inwood/weasked-you-draw-your-own-neighborhood-map-hereswhat-you-did







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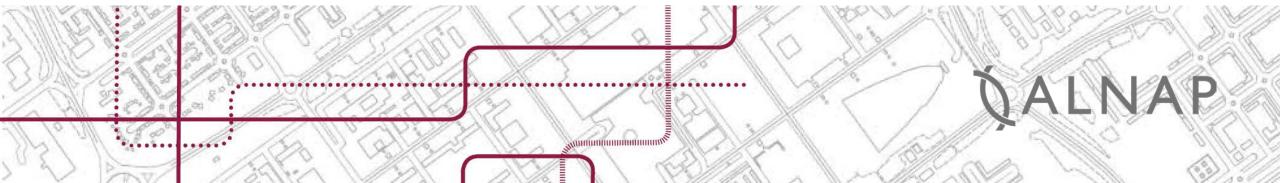
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**Part 2: How to define the boundaries of that area?** 

# **Your Questions**

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# Find out more

ALNAP's work on Urban Response alnap.org/urban

**Urban Humanitarian Response Portal** urban-response.org

**Urban Response Community of Practice** partnerplatform.org/alnap/urban-response